

## Invariance of some polynomial conditions for compact subsets of $C^N$ under holomorphic mappings

by Wiesław PLEŚNIAK

**Abstract.** We show that the property for a pair  $(E, \mu)$ , where  $E$  is a compact subset of  $C^N$  and  $\mu$  is a positive measure on  $E$ , to satisfy polynomial conditions of the type of Leja's famous polynomial condition is invariant under a large class of holomorphic mappings (with values in  $C^M$ , where  $M \leq N$ ) containing, in particular, all open holomorphic mappings. This yields some new examples of the pairs  $(E, \mu)$  satisfying the conditions under consideration.

**1. Introduction.** Let  $E$  be a Borel subset of the space  $C^N$  of  $N$  complex variables and let  $\mu$  be a measure on  $E$  (throughout the paper by a measure we shall mean any nonnegative set function  $\mu$  defined on Borel subsets of  $E$  and such that  $\mu(\emptyset) = 0$ ). The pair  $(E, \mu)$  is said to *satisfy condition  $(L^*)$*  if for every subset  $\mathcal{F}$  of the space  $P(C^N, C^1)$  of all polynomials from  $C^N$  to  $C^1$ , such that

$$\sup_{f \in \mathcal{F}} |f(z)| < \infty$$

$\mu$ -almost everywhere on  $E$ , and for every  $b > 1$  there exist an open neighborhood  $G$  of  $\bar{E}$ , the closure of  $E$ , and a positive constant  $M$  such that for each  $f \in \mathcal{F}$  we have

$$\|f\|_G \leq Mb^{\deg f},$$

where  $\|f\|_G = \sup_{z \in G} |f(z)|$ , and  $\deg f$  denotes the degree of the polynomial  $f$ .

The condition  $(L^*)$  plays an important role in the theory of the polynomial approximation (see [5], [8], [11]). Some examples of pairs  $(E, \mu)$  satisfying  $(L^*)$  were listed in [5] and [11]. It is worth while to notice that the first example of such a pair was given by Leja [4] and is the following.

**Example 1.1.** If  $E$  is a Jordan rectifiable arc in  $C^1$  and  $\mu$  is the length measure on  $E$ , then  $(E, \mu) \in (L^*)$ . In particular,  $E$  can be thought of as a compact interval in  $R^1$ , the space of one real variable, and  $\mu = \lambda_1$ , the Lebesgue linear measure.

It is seen that

**1.2.** If  $(E, \mu) \in (L^*)$ , then  $E$  satisfies the following

**CONDITION  $(B_0)$ .** For every  $b > 1$  there exists an open neighborhood  $G$  of  $\bar{E}$  and a constant  $M > 0$  such that for every polynomial  $f \in P(C^N, C^1)$

$$\|f\|_G \leq Mb^{\deg f} \max(1, \|f\|_E).$$

If  $E$  is compact, then condition  $(B_0)$  is equivalent to the  $L$ -regularity of  $E$  (see Section 2). It was proved in [6] that if  $E$  is an  $L$ -regular polynomially convex compact set in  $\mathbb{C}^N$  and  $h$  is an open holomorphic mapping defined in an open neighborhood  $U$  of  $E$  with values in  $\mathbb{C}^M$  ( $M \leq N$ ), then  $h(E)$  is also  $L$ -regular. Actually, the assumptions on  $h$  can be essentially weakened (see [6]). In this paper we show (Theorems 3.4 and 5.2) that a similar invariance property holds true for the condition  $(L^*)$  as well as for a weaker condition  $(L_0^*)$  defined in Section 5.

In Section 4 some specifications of Theorem 3.4 are given. In particular, we study there the case where  $\mu = \lambda_{2N}$ , the  $2N$ -dimensional Lebesgue measure.

The results of the paper permit to give some new examples of pairs  $(E, \mu)$  satisfying  $(L^*)$  and  $(L_0^*)$  (see Section 6). They also answer a question posed by Siciak (personal communication). The new examples are preceded by a criterion of the  $L^*$ -regularity (with respect to the Lebesgue measure) which is an analogue of a criterion of the  $L$ -regularity due to Gončar (see [7]).

**2. The  $L$ -regularity.** Denote by  $\text{Psh } \mathbb{C}^N$  the set of all plurisubharmonic functions in  $\mathbb{C}^N$  and consider the set

$$L = \{u \in \text{Psh } \mathbb{C}^N : u(z) \leq m + \log(1 + |z|), z \in \mathbb{C}^N\},$$

$m$  being a real constant that may depend on  $u$ , and  $|z| = \max\{|z_j| : 1 \leq j \leq N\}$  for  $z = (z_1, \dots, z_N) \in \mathbb{C}^N$ . Given any subset  $E$  of  $\mathbb{C}^N$  we define the  $L$ -extremal function associated with  $E$  as

$$V_E(z) = \sup\{u(z) : u \in L, u \leq 0 \text{ on } E\}$$

(see [10], [12]). If the function  $V_E$  is continuous in  $\mathbb{C}^N$ , the set  $E$  is called  $L$ -regular. Let  $V_E^*$  denotes the upper regularization of  $V_E$ ,

$$V_E^*(z) = \limsup_{w \rightarrow z} V_E(w), \quad z \in \mathbb{C}^N,$$

and let

$$c(E) = \limsup_{|z| \rightarrow \infty} |z| \exp[-V_E^*(z)].$$

The number  $c(E)$  is called the  $L$ -capacity of  $E$  (see [10]). If  $N = 1$ ,  $c(E)$  is known to be equal to the logarithmic capacity of  $E$ . We have

**LEMMA 2.1** ([10], Theorem 3.6 and Corollary 3.9). *A countable union of  $L$ -polar sets, that is, by definition, sets with the  $L$ -capacity equal to zero, is  $L$ -polar.*

If  $E$  is compact, it is known ([10], [12]) that the function  $\exp V_E$  is equal to Siciak's extremal function associated with  $E$ ,

$$\Phi_E(z) = \sup\{|p(z)|^{1/\deg p} : p \in P(\mathbb{C}^N, \mathbb{C}^1), \|p\|_E \leq 1, \deg p \geq 1\}, \quad z \in \mathbb{C}^N.$$

Moreover, in this case, for the  $L$ -regularity of  $E$  it suffices that  $V_E$  be continuous at every point of  $E$  ([10], [12]). Hence by 1.2 we have

2.2. If  $E$  is compact and  $(E, \mu)$  satisfies  $(L^*)$ , then  $E$  is  $L$ -regular. In particular,  $c(E) > 0$ .

Question 2.3. Is it true that for any Borel subset  $E$  of  $C^N$  and any measure  $\mu$  on  $E$ ,  $(E, \mu) \in (L^*)$  implies  $c(E) > 0$ ?

Observe that the answer to this question is in the affirmative if  $\mu$  is absolutely continuous with respect to the  $L$ -capacity  $c$ , or, equivalently, if  $c$  dominates  $\mu$  (i.e.  $c(A) = 0$  implies  $\mu(A) = 0$ ). An example of such a measure is the Lebesgue  $2N$ -dimensional measure  $\lambda_{2N}$  (resp.  $\lambda_N$ , if  $E \subset \mathbb{R}^N$ , the space of  $N$  real variables, treated as a subset of  $C^N$  consisting of those  $z = (z_1, \dots, z_N)$  that  $\text{Im} z_j = 0$  for  $j = 1, \dots, N$ ).

Remark 2.4. The fact that  $\lambda_{2N}(A) > 0$  (resp.  $\lambda_N(A) > 0$  if  $A \subset \mathbb{R}^N$ ) implies  $c(A) > 0$  easily follows from Fubini's theorem, by means of an estimate for the extremal function associated with plane continua, and by the well-known inequality: For any compact plane set  $E$ ,  $4c(E) \geq \lambda_1(\pi_l(E))$ , where  $\pi_l(E)$  is the projection of  $E$  on a real line  $l$ , and  $\lambda_1$  is the Lebesgue linear measure on  $l$  (see e.g. [3], relation 2.4.4). Actually, from [9], Theorem 2.3.2, we can derive much more, namely let  $E$  be a compact subset of  $C^N$  with a positive Ronkin's  $\Gamma$ -capacity  $\Gamma(E)$  (see [9]). Then  $\Gamma(\{z \in E: \Phi_E \text{ is not continuous at } z\}) = 0$ . In particular,  $c(\{z \in E: \Phi_E \text{ is continuous at } z\}) > 0$ . We omit here the details, however.

We shall need the following lemma which is a consequence of equivalence between locally polar and globally polar sets for plurisubharmonic functions in  $C^N$ , recently proved by Josefson [2].

LEMMA 2.5 ([6], Lemma 2.5). *Let  $E$  be a compact subset of  $C^N$  with  $c(E) > 0$ . Suppose  $h$  is a holomorphic mapping in a connected open set  $U$ ,  $E \subset U$ , with values in  $C^M$  ( $M \leq N$ ), such that  $c(h(U)) > 0$ . Then  $c(h(E)) > 0$ .*

**3. The main result.** Given a Borel subset  $E$  of  $C^N$  and a measure  $\mu$  on  $E$  let  $h$  be  $\mu$ -measurable mapping defined on  $E$ , with values in  $C^M$  ( $M \leq N$ ). We shall say that the pair  $(E, \mu)$  satisfies condition  $(L_n^*)$  if for any family  $\mathcal{F} \subset P(C^M, C^1)$  such that

$$\sup \{ |f(h(z))| : f \in \mathcal{F} \} < \infty \quad \mu\text{-a.e. on } E,$$

and for each  $b > 1$  there exist an open neighborhood  $G$  of  $\bar{E}$  and a constant  $M > 0$  such that

$$\|f \circ h\|_G \leq Mb^{\deg f} \quad \text{for } f \in \mathcal{F}.$$

In the case where  $E$  is bounded, it is seen that

3.1. The pair  $(E, \mu)$  satisfies  $(L_n^*)$  if and only if for each  $b > 1$  and for any sequence  $\{f_n\} \subset P(C^M, C^1)$ , where  $\deg f_n \leq n$ , such that

$$\sup_n \{ |f_n(h(z))| \} < \infty \quad \mu\text{-a.e. on } E$$

there exist an open neighborhood  $G$  of  $\bar{E}$  and a constant  $M > 0$  such that for each  $n$ ,

$$\|f_n \circ h\|_G \leq Mb^n$$

(compare the proof of equivalence (a)  $\Leftrightarrow$  (b) in [11]).

In the sequel we shall be interested in pairs  $(E, \mu)$  which satisfy the following assumption

$(Z_1)$  If  $c(E) > 0$ , then for every Borel subset  $F$  of  $E$  such that  $\mu(E \setminus F) = 0$ , we have  $c(F) > 0$ .

It is seen that if  $\mu(E) > 0$  and  $\mu$  is  $L$ -comparable (i.e. either  $\mu$  dominates  $c$  or  $c$  dominates  $\mu$ ), then  $(E, \mu)$  satisfies  $(Z_1)$ .

A crucial role in this paper is played by the following

LEMMA 3.2. Let  $E$  be a polynomially convex, compact set in  $\mathbf{C}^N$  and let  $\mu$  be a measure on  $E$  such that the pair  $(E, \mu)$  satisfies  $(Z_1)$ . Suppose that  $(E, \mu)$  satisfies also  $(L^*)$ . Let  $h$  be an open holomorphic mapping defined in an open neighborhood  $U$  of  $E$ , with values in  $\mathbf{C}^M$  ( $M \leq N$ ). Then  $(E, \mu)$  satisfies  $(L_h^*)$ .

Proof. Take a sequence  $\{f_n\} \subset P(\mathbf{C}^M, \mathbf{C}^1)$  with  $\deg f_n \leq n$ , such that

$$M(z) = \sup_n \{|f_n(h(z))|\} < \infty \quad \mu\text{-a.e. on } E,$$

and set

$$E_k = \{z \in E: M(z) \leq k\}.$$

Then by 2.2 and assumption  $(Z_1)$ ,  $c(\bigcup_{k=1}^{\infty} E_k) > 0$ , whence by Lemma 2.1 there exists  $k_0$  such that  $c(E_k) > 0$ . Then by Lemma 2.5  $c(h(E_{k_0})) > 0$ , whence the extremal function  $\Phi_{h(E_{k_0})}$  is locally bounded in  $\mathbf{C}^M$ . We may assume that  $h$  is bounded in  $U$ . Then, by the definition of  $\Phi_{h(E_{k_0})}$ , we have

$$\|f_n \circ h\|_U = \|f_n\|_{h(U)} \leq \|f_n\|_{h(E_{k_0})} A_1^n \leq k_0 A_1^n$$

for  $n \geq 1$ , where  $A_1 = \sup_{h(U)} \Phi_{h(E_{k_0})} < \infty$ . By [6], Lemma 2.1, there exist constants  $A_2 > 0$  and  $a \in (0, 1)$ , and polynomials  $p_{n,m} \in P(\mathbf{C}^N, \mathbf{C}^1)$  with  $\deg p_{n,m} \leq m$  such that

$$\|f_n \circ h - p_{n,m}\|_E \leq A_2 k_0 A_1^n a^m, \quad n, m \geq 1.$$

Take a positive integer  $s$  such that  $A_1 a^s \leq a$  and set  $p_n = p_{n,sn}$ . Then we get

$$(3.3) \quad \|f_n \circ h - p_n\|_E \leq A_3 a^n, \quad n \geq 1,$$

where  $A_3 = A_2 k_0$ , whence

$$\sup_n \{|p_n(z)|\} < \infty \quad \mu\text{-a.e. on } E.$$

Since  $(E, \mu)$  satisfies  $(L^*)$ , for every  $b > 1$  there exist an open neighborhood  $G$  of  $E$  and a constant  $A_4 > 0$  such that for each  $n \geq 1$ ,

$$\|p_n\|_E \leq \|p_n\|_G \leq A_4 b^{n/2}.$$

Hence by (3.3)

$$\|f_n\|_{h(E)} = \|f_n \circ h\|_E \leq A_3 a^n + A_4 b^{n/2} \leq A_5 b^{n/2}$$

for  $n \geq 1$ , where  $A_5 = 2 \max(A_3, A_4)$ . By 2.2 the set  $E$  is  $L$ -regular, whence by [6], Theorem 3.5, so is the set  $h(E)$ . From this it follows that there exist an open neighborhood  $V$  of  $h(E)$  and a constant  $A_6 > 0$  such that

$$\|f_n\|_V \leq A_6 b^{n/2} \|f_n\|_{h(E)} \leq A_7 b^n$$

for  $n \geq 1$ , with  $A_7 = A_5 A_6$ . Consequently, for  $z \in W = h^{-1}(V \cap h(U))$  we get

$$|f_n(h(z))| \leq A_7 b^n, \quad n \geq 1.$$

Since  $h$  is an open mapping, the set  $W$  is an open neighborhood of  $E$ , and this completes the proof of the lemma.

Now we can prove the main result of this paper.

**THEOREM 3.4.** *Suppose that  $E$  is a polynomially convex compact set in  $C^N$  and  $h$  is an open holomorphic mapping defined in an open neighborhood  $U$  of  $E$ , with values in  $C^M$  ( $M \leq N$ ). Let  $\mu$  and  $\nu$  be measures on  $E$  and  $h(E)$ , respectively, such that the triplet  $(\mu, h, \nu)$  satisfies the assumption*

$(Z_2)$  *For each Borel subset  $F$  of  $h(E)$ ,  $\nu(F) = 0$  implies  $\mu(h^{-1}(F) \cap E) = 0$ . Then, if the pair  $(E, \mu)$  satisfies both  $(Z_1)$  and  $(L^*)$  so does the pair  $(h(E), \nu)$ .*

**Proof.** Take a sequence  $\{f_n\} \subset P(C^M, C^1)$ , where  $\deg f_n \leq n$ ,  $n \geq 1$ , and suppose that

$$\sup_n \{|f_n(w)|\} < \infty \quad \nu\text{-a.e. on } h(E).$$

Then by assumption  $(Z_2)$

$$\sup_n \{|f_n(h(z))|\} < \infty \quad \mu\text{-a.e. on } E.$$

By Lemma 3.2, for every  $b > 1$  there exist an open neighborhood  $G$  of  $E$  and a constant  $A > 0$  such that

$$\|f_n\|_{h(G)} = \|f_n \circ h\|_G \leq A b^n, \quad n \geq 1.$$

Since  $h$  is an open mapping, the set  $h(G)$  is open, and, consequently,  $(h(E), \nu)$  satisfies  $(L^*)$ . It easily follows from assumption  $(Z_2)$  and from Lemma 2.5 that  $(h(E), \nu)$  satisfies also  $(Z_1)$ . The theorem is proved.

#### 4. Generalizations and special cases.

**Remark 4.1.** The assumption of Theorem 3.4 that  $h$  is open is too strong. For instance, it suffices to assume that the pair  $(E, h)$  satisfies the following requirement

$(H)$  *For each bounded open set  $V$  such that  $E \subset V \subset \bar{V} \subset U$ , the extremal function  $\Phi_{h(\bar{V})}$  is continuous at every point of the set  $h(E)$ .*

Then by Lemma 2.5 and [6], theorem 3.5, our Lemma 3.2 and, consequently, Theorem 3.5 remain to hold.

An example of a pair  $(E, h)$  satisfying  $(H)$ , where  $h$  is not open, is given by  $E = [0, 1] \times [0, 1] \subset \mathbb{R}^2$  and  $h(z_1, z_2) = (z_1, z_2 g(z_1))$ , where  $g$  is an analytic function on  $[0, 1]$  with  $g(0) = 0$  and  $g(t) > 0$  for  $t \in (0, 1]$  (see [7], example 3.1).

Remark 4.2. It is well-known that every non-constant holomorphic function  $h: \mathbb{C}^N \supset U \rightarrow \mathbb{C}^1$ , where  $U$  is connected, is an open mapping. Therefore, for  $M = 1$ , we obtain a very nice corollary to Theorem 3.2.

Suppose now that  $\mu = \lambda_{2N}$ , the  $2N$ -dimensional Lebesgue measure, and  $E$  is a compact subset of  $\mathbb{C}^N$  with  $\lambda_{2N}(E) > 0$ . Then by Remark 2.4 the pair  $(E, \lambda_{2N})$  satisfies assumption  $(Z_1)$ . Let  $h$  be a holomorphic mapping in an open neighborhood  $U$  of  $E$ , with values in  $\mathbb{C}^M$  ( $M \leq N$ ). Denote by  $K$  the set of all critical points of  $h$ ,

$$K = \{z \in U: \text{rank}_z h < M\}.$$

We have

PROPOSITION 4.3. *Suppose that  $K$  does not contain any connected component of  $U$ . Then the triplet  $(\lambda_{2N}, h, \lambda_{2M})$  satisfies  $(Z_2)$ .*

Proof. Let  $F$  be a Borel subset of the set  $h(E)$  such that  $\lambda_{2M}(F) = 0$ . Set  $I = h^{-1}(F) \cap E$ . We have to prove that  $\lambda_{2N}(I) = 0$ . Suppose  $\lambda_{2N}(I) > 0$ . The assumption on  $K$  means that  $K$  is an analytic subset of  $U$ , whence  $K$  is globally  $\mathbb{C}^N$ -polar (see [2]), and consequently, by [10], Theorem 3.10 and Corollary 3.9, we have  $c(K) = 0$ . Therefore by Remark 2.4,  $\lambda_{2N}(K) = 0$ . Consequently,  $\lambda_{2N}(I \setminus K) > 0$ , and since the space  $\mathbb{C}^N$  is a Lindelöf space, there exists a point  $a \in I \setminus K$  such that for each open neighborhood  $V$  of  $a$ ,  $\lambda_{2N}((I \setminus K) \cap V) > 0$ . Since  $K$  is a closed subset of  $U$ , we can choose  $V$  to be disjoint with  $K$ . Since  $\text{rank}_a h = M$ , there exists a nonsingular affine mapping  $l$  in  $\mathbb{C}^N$  such that  $l(0) = a$  and

$$\det \left[ \frac{\partial (h_i \circ l)}{\partial z_j} (0) \right] \neq 0,$$

where  $i, j = 1, \dots, M$ . Hence we can find a neighborhood  $W \subset l^{-1}(V)$  such that the mapping

$$\tilde{h}: z = (z_1, \dots, z_N) \rightarrow (h_1(l(z)), \dots, h_M(l(z)), z_{M+1}, \dots, z_N)$$

is a biholomorphism of  $W$  onto  $\tilde{h}(W)$ . Therefore

$$\lambda_{2N}(\tilde{h}(l^{-1}(I \setminus K) \cap W)) = \int_{l^{-1}(I \setminus K) \cap W} |J_{\tilde{h}}(z)|^2 d\lambda_{2N} > 0,$$

where  $J_{\tilde{h}}$  denotes the determinant of the jacobian matrix of  $\tilde{h}$ , whence  $\lambda_2(\tilde{h}(l^{-1}(I))) > 0$ . But

$$\tilde{h}(l^{-1}(I)) \subset F \times \pi_{N-M}(l^{-1}(I)),$$

where

$$\pi_{N-M}: \mathbb{C}^N \ni (w_1, \dots, w_N) \rightarrow (w_{M+1}, \dots, w_N) \in \mathbb{C}^{N-M},$$

and therefore  $\lambda_{2M}(F) > 0$ , a contradiction. Consequently,  $\lambda_{2N}(I) = 0$  as asserted.

Remark 4.4. If  $E \subset \mathbb{R}^N$ , and  $h(E) \subset \mathbb{R}^M$ , then Proposition 4.3 remains to hold if we replace  $\lambda_{2N}$  and  $\lambda_{2M}$  by  $\lambda_N$  and  $\lambda_M$ , respectively. This can be proved by a similar argument to that of the proof of Proposition 4.3.

As a consequence of Theorem 3.4, Remark 4.1, Proposition 4.3, and Remark 4.4, we obtain

**COROLLARY 4.5.** *Let  $E$  be a polynomially convex, compact set in  $\mathbb{C}^N$  (resp. any compact set in  $\mathbb{R}^N$ ). Let  $h$  be a holomorphic mapping in an open neighborhood  $U$  of  $E$ , with values in  $\mathbb{C}^M$  ( $M \leq N$ ), such that*

$$\text{int}\{z \in U: \text{rank}_z h < M\} = \emptyset.$$

*If then  $(E, \lambda_{2N})$  (resp.  $(E, \lambda_N)$ ) satisfies  $(L^*)$  and  $(E, h)$  satisfies assumption (H) (and  $h(E) \subset \mathbb{R}^M$ , if  $E \subset \mathbb{R}^N$ ), then the pair  $(h(E), \lambda_{2M})$  (resp.  $(h(E), \lambda_M)$ ) satisfies  $(L^*)$ .*

**5. Condition  $(L_0^*)$ .** Given a Borel subset  $E$  of  $\mathbb{C}^N$  and a measure  $\mu$  on  $E$ , the pair  $(E, \mu)$  is said to satisfy condition  $(L_0^*)$  if for every family  $\mathcal{F} \subset P(\mathbb{C}^N, \mathbb{C}^1)$  such that

$$\sup_{f \in \mathcal{F}} |f(z)| < \infty \quad \mu\text{-a.e. on } E,$$

and for every  $b > 1$  there exists a constant  $A > 0$  such that

$$\|f\|_E \leq Ab^{\text{deg } f}, \quad f \in \mathcal{F}.$$

It follows from the definition and from 1.2 that

5.1. The pair  $(E, \mu)$  satisfies  $(L^*)$  if and only if it satisfies both  $(L_0^*)$  and  $(B_0)$ .

The condition  $(L_0^*)$  is, in general, essentially weaker than  $(L^*)$ . Indeed, by taking  $E = [0, 1] \cup \{2\} \subset \mathbb{R}^1$  and  $\mu(A) = \lambda_1(A)$ , if  $2 \notin A$ , and  $\mu(A) = \lambda_1(A) + 1$ , if  $2 \in A$ , where  $A$  is a Borel subset of  $E$ , we obtain a pair which does not satisfy  $(L^*)$  (the extremal function  $\Phi_E$  is not continuous at 2!), which by Example 1.1, however, does satisfy  $(L_0^*)$ .

Observe also that for the Cantor ternary set  $E \subset [0, 1]$  we have  $\lambda_1(E) = 0$ , and therefore the pair  $(E, \lambda_1)$  does not satisfy  $(L^*)$ .  $E$  is, however, known to be  $L$ -regular.

An inspection of the proofs of Lemma 3.2 and Theorem 3.4 gives the following

**THEOREM 5.2.** *Let  $h$  be an open holomorphic mapping defined in an open neighborhood  $U$  of a polynomially convex, compact set  $E$  in  $\mathbb{C}^N$ , with values in  $\mathbb{C}^M$  ( $M \leq N$ ). Suppose  $\mu$  and  $\nu$  are measures on  $E$  and  $h(E)$ , respectively, such that the triplet  $(\mu, h, \nu)$  satisfies assumption  $(Z_2)$ . Then, if the pair  $(E, \mu)$  satisfies both  $(Z_1)$  and  $(L_0^*)$  so does the pair  $(h(E), \nu)$ .*

Remark 5.3. The assumption of Theorem 5.2 that  $h$  is open may be replaced by the weaker one that for any subset  $F$  of  $E$  with  $c(F) > 0$  we have  $c(h(F)) > 0$ . By Lemma 2.5, the last assumption is equivalent to the following one:

*For each connected component  $V$  of  $U$  with  $c(E \cap V) > 0$ , we have  $c(h(V)) > 0$ .*

Similarly as Corollary 4.5, by Proposition 4.3, Remark 4.4, Theorem 5.2, and Remark 5.3, we get

**COROLLARY 5.4.** *Let  $E$  be a polynomially convex, compact set in  $\mathbb{C}^N$  (resp. any compact set in  $\mathbb{R}^N$ ). If the pair  $(E, \lambda_{2N})$  (resp.  $(E, \lambda_N)$ ) satisfies  $(L_0^*)$  and  $h$  satisfies the assumptions of Remark 5.3 (and  $h(E) \subset \mathbb{R}^M$  if  $E \subset \mathbb{R}^N$ ), then the pair  $(h(E), \lambda_{2M})$  (resp.  $(h(E), \lambda_M)$ ) also satisfies  $(L_0^*)$ .*

In fact, to conclude the corollary we have to show that with the assumptions of Remark 4.3 on  $h$  we can apply Proposition 4.3. This, however, follows from

**LEMMA 5.5.** *Let  $h$  be a holomorphic mapping in a non-void connected open subset  $U$  of  $\mathbb{C}^N$ , with values in  $\mathbb{C}^M$  ( $M \leq N$ ), such that  $c(h(U)) > 0$ . Then*

$$c(\{z \in U: \text{rank}_z h < M\}) = 0.$$

**Proof.** It follows from the assumptions on  $h$  that for any Borel subset  $E$  of  $U$ ,  $c(E) > 0$  implies  $c(h(E)) > 0$  (compare the proof of Lemma 2.5 in [6]). Since the set

$$K = \{z \in U: \text{rank}_z h < M\}$$

is an analytic subset of  $U$ , we have  $c(K) = 0$  unless that  $K = U$  (see [2] and [10], Theorem 3.10 and Corollary 3.9). Suppose then  $K = U$  and put  $k = \max\{\text{rank}_z h: z \in U\}$ . The set  $A_k = \{z \in U: \text{rank}_z h < k\}$  is then analytic, whence  $c(U \setminus A_k) > 0$ . Moreover, for each point  $a \in U \setminus A_k$ , there exists a neighborhood  $V$  of  $a$  such that  $h(V)$  is a  $k$ -dimensional complex submanifold of  $\mathbb{C}^M$  (see e.g. [1], Theorem VB10), and therefore  $h(U \setminus A_k)$  is locally polar (for plurisubharmonic functions in  $\mathbb{C}^M$ ). Hence by [10], Theorem 3.10 and Corollary 3.9,  $c(h(U \setminus A_k)) = 0$ , a contradiction.

**6. New examples of pairs  $(E, \mu)$  satisfying condition  $(L^*)$ .** Given a Borel subset  $E$  of  $\mathbb{C}^N$  and a measure  $\mu$  on  $E$ , we say that the pair  $(E, \mu)$  satisfies condition  $(L^*)$  at a point  $a \in \mathbb{C}^N$  if for every family  $\mathcal{F} \subset P(\mathbb{C}^N, \mathbb{C}^1)$  such that

$$\sup\{|f(z)|: f \in \mathcal{F}\} < \infty \quad \mu\text{-a.e. on } E,$$

and for every  $b > 1$  there exist a neighborhood  $V$  of  $a$  and a constant  $A > 0$  such that for each  $f \in \mathcal{F}$ ,

$$\|f\|_V \leq Ab^{\text{deg } f}.$$

It is obvious that

6.1. If  $E$  is compact, then  $(E, \mu)$  satisfies  $(L^*)$  if and only if  $(E, \mu)$  satisfies  $(L^*)$  at every point  $a \in E$ .

Note the following sufficient condition for a pair  $(E, \mu)$  to satisfy condition  $(L^*)$  at a point.

**PROPOSITION 6.2.** *Let  $E$  be a Borel subset of  $\mathbb{C}^N$  and let  $\mu$  be a measure on  $E$ . Given  $a \in \mathbb{C}^N$ , suppose that for every  $b > 1$  there exists a compact subset  $F$  of  $E$  such that*

1° *the pair  $(F, \mu)$  satisfies  $(L_0^*)$ ,*

1° *the extremal function  $\Phi_F$  is continuous at  $a$  and  $\Phi_F(a) < b$ .*

*Then the pair  $(E, \mu)$  satisfies  $(L^*)$  at  $a$ .*

Specially convenient is the following criterion of the  $L^*$ -regularity (with respect to the Lebesgue measure) which is an analogue of a criterion for the  $L$ -regularity due to Gončar (see [7]).

**ATTAINMENT CRITERION 6.3.** *Suppose that for a Borel subset  $E$  of  $C^N$  (resp.  $R^N$ ) and a point  $a \in C^N$  there exists a real line  $l$  and a sequence  $\{K_n\}$  of compact subsets of  $l$  such that*

1° *for each  $n$ ,  $(K_n, \lambda_1)$  satisfies  $(L^*)$  (in  $l$ ),*

2°  *$K_n \subset \text{int } E$  (resp.  $K_n \subset \text{int}_{R^N} E$ ),  $n = 1, 2, \dots$*

3°  *$\Phi_{K_n}(a) \rightarrow 1$ , as  $n \rightarrow \infty$ .*

*Then the pair  $(E, \lambda_{2N})$  (resp.  $(E, \lambda_N)$ ) satisfies condition  $(L^*)$  at  $a$ .*

**Proof.** Consider the case where  $E \subset R^N$ . Let  $l$  be the line chosen to  $a$ . We may assume that  $a = 0 \in R^N$  and  $l = \{(t, 0, \dots, 0) \in R^N : t \in R^1\}$ . Denote by  $\pi$  the projection  $\pi: R^N \ni x = (x_1, \dots, x_N) \rightarrow x_1 \in R^1$ .

By 1° the pair  $(\pi(K_n), \lambda_1)$  satisfies  $(L^*)$  in  $R^1$ . Fix  $b > 1$ . By 3° there exists  $n$  such that

$$\Phi_{\pi(K_n)}(0) < b.$$

By 2° we can find  $\varepsilon > 0$  such that the set

$$F_n(\varepsilon) = \{x \in R^N : x_1 \in \pi(K_n), |x_j| \leq \varepsilon, j = 2, \dots, N\}$$

is contained in the interior of  $E$ . By Example 1.1, 1° and by Fubini's theorem the pair  $(F_n(\varepsilon), \lambda_N)$  satisfies  $(L^*)$ . Moreover, by [10], Proposition 5.9,

$$\Phi_{F_n(\varepsilon)}(x) = \max\{\Phi_{\pi(K_n)}(x_1), \Phi_{P(\varepsilon)}(x_2, \dots, x_N)\},$$

for  $x = (x_1, \dots, x_N) \in R^N$ , where  $P(\varepsilon)$  is the parallelepiped  $\{|x_j| \leq \varepsilon, j = 2, \dots, N\} \subset R^{N-1}$ , whence

$$\Phi_{F_n(\varepsilon)}(0) = \Phi_{\pi(K_n)}(0) < b.$$

Thus, by Proposition 6.2,  $(E, \lambda_N)$  satisfies  $(L^*)$  at 0.

A similar proof works in the case where  $E \subset C^N$ .

Now we can give some new examples of pairs  $(E, \mu)$  satisfying  $(L^*)$ .

**Example 6.4.** Let  $G = \{(x, y) \in R^2 : f(x) < y < g(x), 0 < x < 1\}$ , where  $f$  is an analytic function in a neighborhood of  $[0, 1]$ ,  $g$  is continuous on  $[0, 1]$ , and  $f(x) < g(x)$  for  $x \in (0, 1]$ . Set  $E = \bar{G}$ . Then the pair  $(E, \lambda_2)$  satisfies  $(L^*)$ .

**Proof.** We may assume that  $f(x) \equiv 0$ . (Indeed, take the biholomorphism  $h(x, y) = (x, y - f(x))$  of a neighborhood (in  $C^2$ ) of  $E$  into  $C^2$ . Then by Corollary 4.5 the pair  $(E, \lambda_2)$  satisfies  $(L^*)$  if and only if so does the pair  $(h(E), \lambda_2)$ .) By 6.1 it suffices to prove that  $(E, \lambda_2)$  satisfies  $(L^*)$  at every point  $a \in E$ . If  $a \neq (0, 0)$ , this easily follows from Attainment Criterion 6.3. Suppose then  $a = (0, 0)$ . Given  $b > 1$ , choose  $\alpha \in (0, 1)$  so close to 0 that

$$\Phi_{[\alpha, 1]}(0) < b.$$

