

## A Characterization of the Growth of Analytic Functions by Means of Polynomial Approximation

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**0. Abstract.** The aim of this paper is to give a characterization of the growth of analytic functions in sets of the form  $\{z \in \mathbb{C}^N: \Phi_K(z) < R\}$  (where  $\Phi_K$  is the Siciak extremal function of a compact  $K$ ) by means of the polynomial approximation on  $K$ . The growth of a function  $g$  is examined by comparing  $M(r) = \max\{|g(z)|: \Phi_K(z) = r\}$  and  $\exp\left(\frac{R}{R-r}\right)^e$ , as  $r$  rises to  $R$ .

**1. Introduction.** Let  $K$  be a compact set in  $\mathbb{C}^N$  and let  $\|\cdot\|$  denote the supremum norm on  $K$ . For  $n \in \mathbb{N}$  denote by  $P_n$  the space of all polynomials from  $\mathbb{C}^N$  to  $\mathbb{C}$ , of degree at most  $n$ . In the sequel we always assume that the set  $K$  is  $L$ -regular, i.e. the Siciak extremal function of  $K$  (see [6], [8])

$$\Phi_K(z) = \sup\{|p(z)|^{\frac{1}{n}}: p \in P_n, \|p\| \leq 1, n \geq 1\}, \quad z \in \mathbb{C}^N,$$

is continuous in  $\mathbb{C}^N$ .

Given a function  $f$  defined and bounded on  $K$ , denote by  $t_n$  the  $n$ -th Čebyšev polynomial of the best approximation to  $f$  on  $K$ . It is known [6, 8] that if  $K$  is  $L$ -regular and  $\limsup_{n \rightarrow +\infty} \|f - t_n\|^{\frac{1}{n}} = \frac{1}{R}$  with  $1 < R < +\infty$ , then there exists a function  $g$  analytic in  $K_R = \{z \in \mathbb{C}^N: \Phi_K(z) < R\}$  such that  $g|_K = f$ . In the case  $R = +\infty$ . T. Winiarski [12] has given necessary and sufficient conditions that the (entire) function  $g$  be of given growth. The aim of this paper is to consider the case  $R < +\infty$ .

For  $R > 1$  let  $\mathcal{O}(R) = \mathcal{O}_K(R)$  denote the set of all functions analytic in  $K_R$  and not continuable to any  $K_{R'}$  with  $R' > R$ . Given a function  $g \in \mathcal{O}(R)$ , we put

$$M(r, g) = \sup\{|g(z)|: \Phi_K(z) = r\}, \quad r < R.$$

**1.1. DEFINITION.** The quantity

$$\rho = \limsup_{r \uparrow R} \frac{\log^+ \log^+ M(r, g)}{-\log\left(1 - \frac{r}{R}\right)}$$

is called the *order* of the function  $g$ .

**1.2. DEFINITION.** If  $\varrho$ , the order of the function  $g$ , is positive and finite, then the quantity

$$\sigma = \limsup_{r \uparrow R} \left(1 - \frac{r}{R}\right)^{\varrho} \log^+ M(r, g)$$

is called the *type* of  $g$ .

Here we use the familiar notation  $\log^+ x = \log x$ ,  $x > 1$ ,  $\log^+ x = 0$ ,  $x \leq 1$ . Definition 1.1 is a generalization of the Beuermann definition of the order of an analytic function in the unit disc [1] (compare [10]).

In Section 4 the following theorem will be proved.

**1.3. THEOREM.** *If a function  $f$  is defined and bounded on a compact,  $L$ -regular, balanced set  $K$ , then*

(i)  *$f$  is the restriction to  $K$  of a function  $g \in \mathcal{O}(R)$  of order  $\varrho$  ( $0 < \varrho < +\infty$ ) if and only if*

$$\limsup_{n \rightarrow \infty} \frac{\log^+ \log^+ (\|f - t_n\| R^n)}{\log n^{\frac{\varrho}{\varrho+1}}} = 1;$$

(ii)  *$f$  is the restriction to  $K$  of a function  $g \in \mathcal{O}(R)$  of order  $\varrho$  and type  $\sigma$  ( $0 < \varrho < +\infty$ ,  $0 < \sigma < +\infty$ ) if and only if*

$$\limsup_{n \rightarrow \infty} \frac{\log^+ (\|f - t_n\| R^n)}{(\varrho + 1) \varrho^{-\frac{\varrho}{\varrho+1}} \sigma^{\frac{1}{\varrho+1}} n^{\frac{\varrho}{\varrho+1}}} = 1.$$

**1.4.** If the set  $K$  is the Cartesian product of plane sets, we can obtain a more precise characterization of the growth of analytic functions.

Let  $L^{(1)} \times \dots \times K^{(N)}$ , where  $K^{(1)}, \dots, K^{(N)}$  are  $L$ -regular compact subsets of  $\mathbb{C}$ . We put

$$K_{R_1, \dots, R_N} = \{z \in \mathbb{C}^N : \Phi_K^{(j)}(z_j) < R_j, j = 1, \dots, N\}, \quad R_j > 1, \quad j = 1, \dots, N.$$

For  $R_j > 1$  ( $j = 1, \dots, N$ ) let  $\mathcal{O}(R_1, \dots, R_N)$  denote the set of all functions analytic in  $K_{R_1, \dots, R_N}$  and not continuable analytically to any  $K_{R'_1, \dots, R'_N}$  with  $R'_j > R_j$  ( $j = 1, \dots, N$ ). Given a function  $f$  defined and bounded on  $K$ , let  $t_{n_1, \dots, n_N}$  denote the Čebyšev polynomial of the best approximation to  $f$  on  $K$  by polynomials of the degree at most  $n_j$  with respect to the  $j$ -th variable ( $j = 1, \dots, N$ ). We have the following theorem (see Theorem 7.3).

**1.5. THEOREM.** *The function  $f$ , defined and bounded on  $K$ , has an analytic continuation  $g \in \mathcal{O}(R_1, \dots, R_N)$  if and only if*

$$\limsup_{(n_1, \dots, n_N) \rightarrow (\infty, \dots, \infty)} \frac{1}{(\|f - t_{n_1, \dots, n_N}\| R_1^{n_1} \dots R_N^{n_N})^{\frac{1}{n_1 + \dots + n_N}}} = 1.$$

In the case  $K = [-1, 1]^N$  this theorem is a simple consequence of Theorem 2 of [5].

Let  $g \in \mathcal{O}(R_1, \dots, R_N)$ . We put

$$M(r_1, \dots, r_N, g) = \sup \{|g(z)| : \Phi_{K^{(j)}}(z_j) = r_j, j = 1, \dots, N\}, \quad 1 < r_j < R_j, j = 1, \dots, N.$$

**1.6. DEFINITION.** A system of  $N$  positive numbers  $(\varrho_1, \dots, \varrho_N)$  is called the *order system* of the function  $g$ , if

$$\limsup_{(r_1, \dots, r_N) \uparrow (R_1, \dots, R_N)} \frac{\log^+ \log^+ M(r_1, \dots, r_N, g)}{\log \left[ \left(1 - \frac{r_1}{R_1}\right)^{-\varrho_1} + \dots + \left(1 - \frac{r_N}{R_N}\right)^{-\varrho_N} \right]} = 1.$$

**1.7. DEFINITION.** A system of  $N$  positive number  $(\sigma_1, \dots, \sigma_N)$  is called the *type system* of the function  $g$  corresponding to the order system  $(\varrho_1, \dots, \varrho_N)$ , if

$$\limsup_{(r_1, \dots, r_N) \uparrow (R_1, \dots, R_N)} \frac{\log^+ M(r_1, \dots, r_N, g)}{\sigma_1 \left(1 - \frac{r_1}{R_1}\right)^{-\varrho_1} + \dots + \sigma_N \left(1 - \frac{r_N}{R_N}\right)^{-\varrho_N}} = 1.$$

In Section 7 following theorem will be proved.

**1.8. THEOREM.** Let  $K = K^{(1)} \times \dots \times K^{(N)}$ , where  $K^{(1)}, \dots, K^{(N)}$  are compact subsets of  $\mathbb{C}$ . Assume that there exists a real number  $d > 0$  such that every connected component of each of the sets  $K^{(1)}, \dots, K^{(N)}$  has the ordinary diameter  $\geq d$ . If the function  $f$  is defined and bounded on  $K$ , then

(i)  $f$  has an analytic continuation  $g \in \mathcal{O}(R_1, \dots, R_N)$  with the order system  $(\varrho_1, \dots, \varrho_N)$  if and only if

$$\limsup_{(n_1, \dots, n_N) \rightarrow (\infty, \dots, \infty)} \frac{\log^+ \log^+ (\|f - t_{n_1, \dots, n_N}\| R_1^{n_1} \dots R_N^{n_N})}{\log(n_1^{\frac{\varrho_1}{\varrho_1+1}} + \dots + n_N^{\frac{\varrho_N}{\varrho_N+1})}} = 1;$$

(ii)  $f$  has analytic continuation  $g \in \mathcal{O}(R_1, \dots, R_N)$  with the type system  $(\sigma_1, \dots, \sigma_N)$  corresponding to the order system  $(\varrho_1, \dots, \varrho_N)$  if and only if

$$\limsup_{(n_1, \dots, n_N) \rightarrow (\infty, \dots, \infty)} \frac{\log^+ (\|f - t_{n_1, \dots, n_N}\| R_1^{n_1} \dots R_N^{n_N})}{\sum_{j=1}^N (\varrho_j + 1) \varrho_j^{\frac{\varrho_j}{\varrho_j+1}} \sigma_j^{\frac{1}{\varrho_j+1}} n_j^{\frac{\varrho_j}{\varrho_j+1}}} = 1.$$

**1.9.** In the case  $N = 1$ , under stronger assumptions on the set  $K$ , the inequality (2) of Lemma 5.3 has been proved by Mergelian [3] and Smirnov and Lebediev [9]. The idea of the proof of Lemma 5.3 I owe to Professor J. Siciak, to whom I render here my thanks.

## 2. Order and type of the sum of a series of polynomials.

**2.1.** In the sequel we shall use the following properties of the Siciak extremal function of a compact set  $K \subset \mathbb{C}^N$ .

P1.  $\Phi_K(z) \geq 1$ ,  $z \in \mathbb{C}^N$ ,  $\Phi_K(z) = 1$ ,  $z \in K$ .

P2. If  $K = K^{(1)} \times \dots \times K^{(N)}$ , where  $K^{(j)} \subset \mathbb{C}$  ( $j = 1, \dots, N$ ), then

$$\Phi_K(z) = \max\{\Phi_{K^{(1)}}(z_1), \dots, \Phi_{K^{(N)}}(z_N)\}.$$

P3. If  $p \in P_n$ , then  $|p(z)| \leq \|p\| \Phi_K^n(z)$ .

2.2. Let  $K$  be a fixed compact,  $L$ -regular set in  $C^N$  and let  $(p_n)_{n \in N}$  be a sequence of polynomials such that

- (i)  $p_n \in P_n$ ,  $n \in N$ ;
- (ii)  $\sum_{n=0}^{\infty} p_n \in \mathcal{O}(R)$  with  $R \in (1, +\infty)$ ;
- (iii) for every positive  $r < R$  the set  $\{\|p_n\| r^n : n \in N\}$  is bounded.

We put

$$M^*(r) = \max\{\|p_n\| r^n : n \in N\}, \quad 1 < r < R;$$

$$p^* = \limsup_{r \uparrow R} \frac{\log^+ \log^+ M^*(r)}{-\log\left(1 - \frac{r}{R}\right)};$$

if  $\varrho = \varrho\left(\sum_{n=0}^{\infty} p_n\right)$  is positive, we put

$$\sigma^* = \limsup_{r \uparrow R} \left(1 - \frac{r}{R}\right)^{\varrho} \log^+ M^*(r).$$

2.3. LEMMA. Under the assumptions of 2.2

- (i)  $\varrho \leq \varrho^*$ ;
- (ii) if  $\varrho \in (0, +\infty)$ , then  $\sigma \leq \sigma^*$ ,

( $\varrho$  being the order and  $\sigma$  the type of the function  $\sum_{n=0}^{\infty} p_n$ ).

Proof. Observe that for every positive  $\delta < 1$  and for every  $r \in (1, R)$

$$(1) \quad M(r) \leq \frac{M^*(r^\delta R^{1-\delta})}{1 - \left(\frac{r}{R}\right)^{1-\delta}}.$$

Indeed,

$$M(r) \leq \sum_{n=0}^{\infty} \sup\{|p_n(z)| : z \in K_r\}.$$

Property P3 of 2.1 gives

$$|p_n(z)| \leq \|p_n\| r^n, \quad z \in K_r, \quad n \in N.$$

Then

$$M(r) \leq \sum_{n=0}^{\infty} \|p_n\| r^n.$$

Writing  $r = r^\delta R^{1-\delta} \left(\frac{r}{R}\right)^{1-\delta}$  we obtain

$$M(r) \leq \sum_{n=0}^{\infty} M^*(r^\delta R^{1-\delta}) \left(\frac{r}{R}\right)^{(1-\delta)n},$$

Hence

$$M(r) \leq \frac{M^*(r^\delta R^{1-\delta})}{1 - \left(\frac{r}{R}\right)^{1-\delta}}$$

as asserted.

From (1) we obtain, for every  $\delta < 1$ ,

$$\log^+ \log^+ M(r) \leq \log^+ \left[ \log^+ M^*(r^\delta R^{1-\delta}) + \log \frac{1}{1 - \left(\frac{r}{R}\right)^{1-\delta}} \right].$$

If the function  $\{r \rightarrow M^*(r^\delta R^{1-\delta})\}$  is bounded, then  $\varrho = \varrho^* = 0$ . So we can assume that  $M^*(r^\delta R^{1-\delta}) \rightarrow +\infty$  as  $r \uparrow R$ . Then, for  $r$  sufficiently close to  $R$

$$\log M^*(r^\delta R^{1-\delta}) + \log \frac{1}{1 - \left(\frac{r}{R}\right)^{1-\delta}} \leq \log M^*(r^\delta R^{1-\delta}) \log \frac{1}{1 - \left(\frac{r}{R}\right)^{1-\delta}},$$

whence

$$\frac{\log^+ \log^+ M(r)}{-\log \left(1 - \frac{r}{R}\right)} \leq \frac{\log \log M^*(r^\delta R^{1-\delta})}{-\log \left(1 - \left(\frac{r}{R}\right)^\delta\right)} \cdot \frac{-\log \left(1 - \left(\frac{r}{R}\right)^\delta\right)}{-\log \left(1 - \frac{r}{R}\right)} + \frac{\log \log \left[1 - \left(\frac{r}{R}\right)^{1-\delta}\right]^{-1}}{-\log \left(1 - \frac{r}{R}\right)}.$$

After passing to the upper limit we get (i). The proof of (ii) proceeds on the same lines.

**2.4. Remark.** If the set  $K$  is balanced and  $(p_n)_{n \in \mathbb{N}}$  is a sequence of homogeneous polynomials, then by the Cauchy inequalities  $M^*(r) \leq M(r)$ , whence  $\varrho^* \leq \varrho$  and  $\sigma^* \leq \sigma$  provided  $\varrho \in (0, +\infty)$ .

**2.5. LEMMA.** Let  $(p_n)_{n \in \mathbb{N}}$  be a sequence of polynomials such that  $p_n \in P_n$  for  $n \in \mathbb{N}$ . If  $\sum_{n=0}^{\infty} p_n \in \mathcal{O}(R)$  and if there exist positive constants  $\beta$ ,  $n_0$  and  $\alpha < 1$  such that

$$\|p_n\| \leq R^{-n} \exp(\beta n^\alpha), \quad n \geq n_0,$$

then

$$(i) \quad \varrho \leq \frac{\alpha}{1-\alpha};$$

$$(ii) \quad \text{if } \varrho = \frac{\alpha}{1-\alpha}, \text{ then } \sigma \leq \frac{\varrho^e}{(\varrho+1)^{e+1}} \beta^{e+1}$$

Proof. By the assumptions

$$\|p_n\| r^n \leq \left(\frac{r}{R}\right)^n \exp(\beta n^\alpha), \quad n \geq n_0, \quad r < R.$$

Thus

$$(2) \quad \|p_n\| r^n \leq \sup \left\{ \left(\frac{r}{R}\right)^x \exp(\beta x^\alpha) : x \in (0, +\infty) \right\} = \\ = \exp \left[ (1-\alpha) \alpha^{\frac{\alpha}{1-\alpha}} \beta^{\frac{1}{1-\alpha}} \left(\log \frac{r}{R}\right)^{\frac{\alpha}{\alpha-1}} \right], \quad n \geq n_0, \quad r < R.$$

Observe that for every  $r < R$  there exists a positive integer  $v(r)$  such that

$$M^*(r) = \|p_{v(r)}\| r^{v(r)}$$

and

$$M^*(r) > \|p_n\| r^n, \quad n > v(r).$$

If  $v(r)$  is bounded for  $r < R$ , then  $M^*(r)$  also is bounded, hence  $\varrho^* = 0$  and consequently  $\varrho = 0$ . So we may assume that  $v(r) \geq n_0$  for  $r$  sufficiently close to  $R$ , say  $r \in (r_0, R)$ . Putting  $n = v(r)$  in (2) we get

$$M^*(r) \leq \exp \left[ (1-\alpha) \alpha^{\frac{\alpha}{1-\alpha}} \beta^{\frac{1}{1-\alpha}} \left(\log \frac{R}{r}\right)^{\frac{-\alpha}{1-\alpha}} \right], \quad r_0 < r < R,$$

hence

$$\frac{\log^+ \log^+ M^*(r)}{-\log \left(1 - \frac{r}{R}\right)} \leq \frac{\log \left[ (1-\alpha) \alpha^{\frac{\alpha}{1-\alpha}} \beta^{\frac{1}{1-\alpha}} \right]}{-\log \left(1 - \frac{r}{R}\right)} + \frac{\alpha}{1-\alpha} \cdot \frac{\log \log \frac{R}{r}}{\log \left(1 - \frac{r}{R}\right)}$$

and

$$\left(1 - \frac{r}{R}\right)^{\varrho} \log^+ M^*(r) \leq \frac{\varrho^{\varrho}}{(\varrho+1)^{\varrho+1}} \beta^{\varrho+1} \left(\log \frac{R}{r}\right)^{-\varrho} \left(1 - \frac{r}{R}\right)^{\varrho}$$

provided  $\varrho = \frac{\alpha}{1-\alpha}$ . Letting  $r \rightarrow R$  and using Lemma 2.3 we obtain the inequalities (i) and (ii).

### 3. Best approximation and interpolation.

**3.1.** Let  $K$  be a compact,  $L$ -regular set in  $C^N$ . Given a function  $f$  defined and bounded on  $K$  we put for  $n \in N$

$$E_n^{(1)} = E_n^{(1)}(f, K) = \|f - t_n\|, \\ E_n^{(2)} = E_n^{(2)}(f, K) = \|f - l_n\|, \\ E_{n+1}^{(3)} = E_{n+1}^{(3)}(f, K) = \|l_{n+1} - l_n\|,$$

where  $t_n$  denotes the  $n$ -th Čebyšev polynomial of the best approximation to  $f$  on  $K$  and  $l_n$  denotes the  $n$ -th Lagrange interpolation polynomial for  $f$  with nodes at extremal points of  $K$  (see [6]).

3.2. Inequalities [e.g. 12, Lemma 3.3].

$$E_n^{(1)} \leq E_n^{(2)} \leq (n_* + 2) E_n^{(1)},$$

$$E_n^{(3)} \leq 2(n_* + 2) E_{n-1}^{(1)}, \quad n \geq 1,$$

where  $n_* = \binom{n+N}{n}$ .

3.3. THEOREM [6]. *The function  $f$  is the restriction to  $K$  of a function from  $\mathcal{O}(R)$  if and only if*

$$\limsup_{n \rightarrow \infty} (E_n^{(s)})^{\frac{1}{n}} = \frac{1}{R}; \quad s = 1, 2 \text{ or } 3.$$

3.4. LEMMA. *Let  $K$  be a compact,  $L$ -regular, balanced set in  $C^N$ . Then for every  $g \in \mathcal{O}(R)$*

$$E_n^{(1)}(g|_K, K) \leq \frac{M(r, g)}{r^n(r-1)}, \quad 1 < r < R, \quad n \in N.$$

Proof. The required inequality follows immediately from a result of Siciak [6, p. 344, inequality (7)] and from the Cauchy inequalities.

4. The characterization of the growth of analytic functions. Let  $K$  be a compact,  $L$ -regular, balanced set in  $C^N$  and let  $R$  be a fixed number greater than 1.

4.1. THEOREM. *If a function  $g$  belonging to  $\mathcal{O}(R)$  has a finite order  $\varrho$ , then*

$$\gamma_s = \limsup_{n \rightarrow \infty} \frac{\log^+ \log^+ (E_n^{(s)} R^n)}{\log n} = \frac{\varrho}{\varrho + 1}, \quad s = 1, 2, 3.$$

Proof. By Inequalities 3.2  $\gamma_3 \leq \gamma_2 = \gamma_1$ , so it is sufficient to show that  $\gamma_1 \leq \frac{\varrho}{\varrho + 1} \leq \gamma_3$ .

1°  $\gamma_1 \leq \frac{\varrho}{\varrho + 1}$ . From Definition 1.1 and from Lemma 3.4 for every  $\mu > \varrho$  there exists  $r_\mu > 1$  such that

$$\log^+ (E_n^{(1)} R^n) \leq \left(1 - \frac{r}{R}\right)^{-\mu} + \log \left(\frac{r}{R}\right)^n + \log \frac{1}{r_\mu - 1}, \quad r_\mu < r < R, \quad n \in N.$$

Putting  $r = R \left[1 - \left(\frac{\mu}{n}\right)^{\frac{1}{\mu+1}}\right]$  we get

$$\log^+ (E_n^{(1)} R^n) \leq \left(\frac{n}{\mu}\right)^{\frac{1}{\mu+1}} - n \log \left[1 - \left(\frac{\mu}{n}\right)^{\frac{1}{\mu+1}}\right] - \log(r_\mu - 1), \quad n \geq n(\mu).$$

For every  $\varepsilon > 0$  and for sufficiently large  $n$ ,

$$-\log \left[ 1 - \left( \frac{\mu}{n} \right)^{\frac{1}{\mu+1}} \right] \leq (1 + \varepsilon) \left( \frac{\mu}{n} \right)^{\frac{1}{\mu+1}}$$

and

$$-\log(r_\mu - 1) \leq \varepsilon \mu^{\frac{1}{\mu+1}} n^{\frac{\mu}{\mu+1}}.$$

Hence

$$\frac{\log^+ \log^+ (E_n^{(1)} R^n)}{\log n} \leq \frac{\log [\mu^{-\frac{\mu}{\mu+1}} + (1 + 2\varepsilon) \mu^{\frac{1}{\mu+1}}]}{\log n} + \frac{\mu}{\mu+1}.$$

Letting  $n \rightarrow \infty$  we get the inequality  $\gamma_1 \leq \frac{\mu}{\mu+1}$ . By the arbitrariness of  $\mu > \varrho$  we obtain

$$\gamma_1 \leq \frac{\varrho}{\varrho+1}.$$

2°  $\frac{\varrho}{\varrho+1} \leq \gamma_3$ . If  $\gamma_3 = 1$ , then the inequality is evidently true. Assume that  $\gamma_3 < 1$ .

Then for every  $\alpha \in (\gamma_3, 1)$

$$\frac{\log^+ \log^+ (E_n^{(3)} R^n)}{\log n} \leq \alpha$$

provided  $n$  is sufficiently large. Hence

$$E_n^{(3)} R^n \leq \exp(n^\alpha).$$

Using Lemma 2.5 for polynomials  $p_n = l_n - l_{n-1}$ ,  $n \geq 1$ ,  $p_0 = l_0$ , we obtain that  $\frac{\varrho}{\varrho+1} \leq \alpha$ .

Owing to the arbitrariness of  $\alpha > \gamma_3$

$$\frac{\varrho}{\varrho+1} \leq \gamma_3.$$

**4.2. THEOREM.** *If a function  $g$  belonging to  $\mathcal{O}(R)$  has a positive finite order  $\varrho$  and a finite type  $\sigma$ , then*

$$\lambda_s = \limsup_{n \rightarrow \infty} \frac{\log^+ (E_n^{(s)} R^n)}{\frac{\varrho}{n^{\varrho+1}}} = [\chi(\varrho) \sigma]^{\frac{1}{\varrho+1}}, \quad s = 1, 2, 3;$$

where  $\chi(\varrho) = \frac{(\varrho+1)^{\varrho+1}}{\varrho^\varrho}$ .

**Proof.** By Inequalities 3.2  $\lambda_3 \leq \lambda_2 = \lambda_1$ , so it suffices to show that  $\lambda_1 \leq (\chi(\varrho))^{\frac{1}{\varrho+1}} \leq \lambda_3$ .

1° By Definition 1.2 for every  $\omega > \sigma$  there exists  $r_\omega > 1$  such that

$$M(r) \leq \exp \left[ \omega \left( 1 - \frac{r}{R} \right)^{-e} \right], \quad r \in (r_\omega, R).$$

Hence by Lemma 3.4

$$E_n^{(1)} R^n \leq \left( \frac{R}{r} \right)^n \exp \left[ \omega \left( 1 - \frac{r}{R} \right)^{-e} \right] \frac{1}{r_\omega - 1}, \quad r \in (r_\omega, R), \quad n \in N.$$

Putting

$$r = R \left[ 1 - \left( \frac{\omega \rho}{n} \right)^{\frac{1}{e+1}} \right]$$

we get

$$\log^+ (E_n^{(1)} R^n) \leq \omega (\omega \rho)^{-\frac{e}{e+1}} n^{\frac{e}{e+1}} - n \log \left[ 1 - \left( \frac{\omega \rho}{n} \right)^{\frac{1}{e+1}} \right] + \log \frac{1}{r_\omega - 1}, \quad n > n(\omega).$$

Observe that for every  $\varepsilon > 0$  and for every sufficiently large  $n$

$$-\log \left[ 1 - \left( \frac{\omega \rho}{n} \right)^{\frac{1}{e+1}} \right] \leq (1 + \varepsilon) \left( \frac{\omega \rho}{n} \right)^{\frac{1}{e+1}}$$

and

$$-\log(r_\omega - 1) \leq \varepsilon (\omega \rho)^{\frac{1}{e+1}} n^{\frac{e}{e+1}}.$$

Hence

$$\frac{\log^+ (E_n^{(1)} R^n)}{n^{\frac{e}{e+1}}} \leq \omega^{\frac{1}{e+1}} \left[ \rho^{\frac{-e}{e+1}} + (1 + 2\varepsilon) \rho^{\frac{1}{e+1}} \right].$$

Letting  $n \rightarrow \infty$ ,  $\varepsilon \rightarrow 0$  and  $\omega \rightarrow \sigma$  we get

$$\lambda_1 \leq [\chi(\rho) \sigma]^{\frac{1}{e+1}}.$$

2°  $[\chi(\rho) \sigma]^{\frac{1}{e+1}} \leq \lambda_3$ . Suppose that  $\lambda_3 < [\chi(\rho) \sigma]^{\frac{1}{e+1}}$ . Then there exists  $\theta < \sigma$  such that  $\lambda_3 < [\chi(\rho) \theta]^{\frac{1}{e+1}}$ , so

$$\frac{\log^+ (E_n^{(3)} R^n)}{n^{\frac{e}{e+1}}} \leq [\chi(\rho) \theta]^{\frac{1}{e+1}}$$

provided  $n$  is sufficiently large. Thus

$$E_n^{(3)} \leq R^{-n} \exp \left\{ [\chi(\rho) \theta]^{\frac{1}{e+1}} n^{\frac{e}{e+1}} \right\}.$$

Therefore by Lemma 2.5  $\sigma \leq \theta$  and we get a contradiction, because  $\theta$  has been chosen less than  $\sigma$ . Hence  $\lambda_3 \leq [\chi(\rho) \sigma]^{\frac{1}{e+1}}$  as asserted.

The following will show how the speed of convergence to 0 of the sequences  $(E_n^{(s)}(f, K))_{n \in \mathbb{N}}$  estimates the set on which the function  $f$  can be extended analytically and determines the growth of this extension.

**4.3. THEOREM.** *Given a function  $f$ , defined and bounded on  $K$ , we put*

$$\alpha = \limsup_{n \rightarrow \infty} \frac{\log^+ \log^+ (E_n^{(1)} R^n)}{\log n}.$$

If  $\alpha \in (0, 1)$ , then the function  $\tilde{f} = l_0 + \sum_{n=1}^{\infty} (l_n - l_{n-1})$  belongs to  $\mathcal{O}(R)$ ,  $\tilde{f}|_K = f$  and  $\tilde{f}$  has the order  $\varrho = \frac{\alpha}{1-\alpha}$ .

*Proof.* For every  $\mu \in (\alpha, 1)$

$$\frac{\log^+ \log^+ (E_n^{(1)} R^n)}{\log n} \leq \mu$$

provided  $n$  is sufficiently large. Hence

$$(3) \quad E_n^{(1)} R^n \leq \exp(n^\mu)$$

and consequently

$$\limsup_{n \rightarrow \infty} (E_n^{(1)} R^n)^{\frac{1}{n}} \leq 1.$$

Since  $\alpha > 0$ , the sequence  $(E_n^{(1)} R^n)_{n \in \mathbb{N}}$  is unbounded, whence

$$\limsup_{n \rightarrow \infty} (E_n^{(1)} R^n)^{\frac{1}{n}} \geq 1.$$

Thus

$$\limsup_{n \rightarrow \infty} (E_n^{(1)})^{\frac{1}{n}} = \frac{1}{R}.$$

So by Theorem 3.3  $\tilde{f} \in \mathcal{O}(R)$ . Moreover, inequalities (3) and 3.2 give

$$||l_n - l_{n-1}|| \leq R^{-n} \exp(2n^\mu)$$

provided  $n$  is sufficiently large. Hence by Lemma 2.5 and Theorem 4.1

$$\varrho = \frac{\alpha}{1-\alpha}.$$

**4.4. THEOREM.** *Let  $f$  be a function defined and bounded on  $K$ . If for some positive and finite  $\varrho$*

$$\beta(\varrho) = \limsup_{n \rightarrow \infty} n^{-\frac{\varrho}{\varrho+1}} \log^+ (E_n^{(1)} R^n)$$

is finite, then the function  $\tilde{f} = l_0 + \sum_{n=1}^{\infty} (l_n - l_{n-1})$  belongs to  $\mathcal{O}(R)$ ,  $\tilde{f}|_K = f$ ,  $\varrho$  is the order of  $\tilde{f}$  and  $\sigma = \frac{\varrho^e}{(\varrho+1)^{e+1}} \beta(\varrho)^{e+1}$  is the type of  $\tilde{f}$ .

**Proof.** Repeating the arguments used in the proof of Theorem 4.3 one may easily check that  $\tilde{f} \in \mathcal{O}(R)$ . In order to estimate the growth of  $\tilde{f}$  take any  $\tau > \beta(\varrho)$ . Then

$$n^{-\frac{e}{e+1}} \log^+ (E_n^{(1)} R^n) \leq \tau, \quad n > n(\tau).$$

Hence the inequality

$$E_n^{(1)} \leq R^{-n} \exp(\tau n^{\frac{e}{e+1}})$$

together with Inequalities 3.2 imply

$$\|l_n - l_{n-1}\| \leq R^{-n} \exp(2\tau n^{\frac{e}{e+1}})$$

provided  $n$  is sufficiently large. Hence by Lemma 2.5  $\tilde{\varrho}$ , the order of  $\tilde{f}$ , satisfies  $\tilde{\varrho} \leq \varrho$ . Suppose that  $\tilde{\varrho} < \varrho$ . Then, owing to Theorem 4.1, for every  $\varrho' \in (\tilde{\varrho}, \varrho)$

$$\frac{\log^+ \log^+ (E_n^{(1)} R^n)}{\log n} \leq \frac{\varrho'}{\varrho' + 1}, \quad n > n'(\varrho').$$

Thus

$$\limsup_{n \rightarrow \infty} n^{-\frac{\varrho'}{e'+1}} \log^+ (E_n^{(1)} R^n) \leq 1.$$

On the other hand

$$\limsup_{n \rightarrow \infty} n^{-\frac{\varrho'}{e'+1}} \log^+ (E_n^{(1)} R^n) = \limsup_{n \rightarrow \infty} n^{-\frac{e}{e+1}} \log^+ (E_n^{(1)} R^n) n^{\frac{e}{e+1} - \frac{\varrho'}{e'+1}} = +\infty.$$

So we get a contradiction, whence  $\tilde{\varrho} = \varrho$ . Moreover, by Lemma 2.5 and Theorem 4.2

$$\sigma = \frac{\varrho^e}{(\varrho+1)^{e+1}} \beta(\varrho)^{e+1}.$$

**4.5. Remark.** It is obvious that in Theorems 4.3 and 4.4  $E_n^{(1)}$  may be replaced by  $E_n^{(2)}$  or  $E_n^{(3)}$ .

## 5. Order and type systems.

**5.1.** In Sections 5 through 7 we consider an approximation and interpolation on  $K = K^{(1)} \times \dots \times K^{(N)}$ , where  $K^{(1)}, \dots, K^{(N)}$  are compact  $L$ -regular sets in  $\mathbb{C}$ .

Given  $r = (r_1, \dots, r_N) \in (1, +\infty)^N$  and  $j \in N$ ,  $1 \leq j \leq N$ , we put

$$\begin{aligned}\Phi_j &= \Phi_{K^{(j)}}, \\ K_{r_j}^{(j)} &= \{\zeta \in \mathbb{C}: \Phi_j(\zeta) < r_j\}, \\ C_{r_j}^{(j)} &= \{\zeta \in \mathbb{C}: \Phi_j(\zeta) = r_j\}, \\ K_r &= K_{r_1}^{(1)} \times \dots \times K_{r_N}^{(N)}.\end{aligned}$$

For  $n = (n_1, \dots, n_N) \in N^N$  let  $P_n$  denote the space of all polynomials from  $\mathbb{C}^N$  to  $\mathbb{C}$  of degree at most  $n_j$  with respect to the  $j$ -th variable ( $j = 1, \dots, N$ ). Moreover we shall use the following notations:

if

$$x = (x_1, \dots, x_N) \in \mathbb{R}^N, y = (y_1, \dots, y_N) \in \mathbb{R}^N, \xi \in \mathbb{R},$$

then

$$\begin{aligned}|x| &= |x_1| + \dots + |x_N|; \\ x \cdot y &= (x_1 y_1, \dots, x_N y_N); \\ \frac{x}{y} &= \left( \frac{x_1}{y_1}, \dots, \frac{x_N}{y_N} \right), \quad y_j \neq 0, j = 1, \dots, N; \\ x^y &= x_1^{y_1} \dots x_N^{y_N}, \quad x^\xi = (x_1^\xi, \dots, x_N^\xi), \quad x_j > 0, j = 1, \dots, N; \\ \hat{\xi} &= (\xi, \dots, \xi) \in \mathbb{R}^N; \\ \hat{\infty} &= \underbrace{(\infty, \dots, \infty)}_{N \text{ times}}; \\ \chi(\xi) &= \frac{(\xi+1)^{\xi+1}}{\xi^\xi}, \quad \xi > 0; \\ x < y &\stackrel{\text{df}}{\Leftrightarrow} x_j < y_j, j = 1, \dots, N; \quad x > y \stackrel{\text{df}}{\Leftrightarrow} y < x.\end{aligned}$$

**5.2.** The order and type systems of a function from  $\mathcal{O}(R)$  may be defined as elements of some surfaces in  $(0, +\infty)^N$  (compare [4, p. 174]). Given  $R = (R_1, \dots, R_N) > \hat{1}$  and  $g \in \mathcal{O}(R)$  let  $\mathcal{R} = \mathcal{R}(g)$  denote the set of all  $\mu \in (0, +\infty)^N$  for which there exists  $r(\mu) \in (1, R_1) \times \dots \times (1, R_N)$  such that

$$\log^+ M(r) \leq \sum_{j=1}^N \left(1 - \frac{r_j}{R_j}\right)^{-\mu_j}, \quad r(\mu) < r < R.$$

Analogously to the case of entire functions [4] one can readily show that

*the system of  $N$  positive numbers  $(\varrho_1, \dots, \varrho_N)$  is the order system of a function  $g$  if and only if  $(\varrho_1, \dots, \varrho_N) \in \partial \mathcal{R}(g)$ .*

